



PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/085,445
				Filing Date	February 28, 2002
				First Named Inventor	Evren Eryurek
				Art Unit	2121
				Examiner Name	Not Yet Assigned
Sheet	1	of	4	Attorney Docket Number	30203/38233

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
JP		4,527,271	7/2/1985	Hallee et al.	RECEIVED MAY 08 2003 Technology Center 2100
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		4,657,179	4/14/1987	Aggers et al.	
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Examiner Signature	T. E. H. M.			Date Considered	12/11/03



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Sheet	2	of	4	Attorney Docket Number	30203/38233

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	5,486,920	1/23/1996	Killpatrick et al.	
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Examiner Signature	<i>Thyph...</i>	Date Considered	12/11/03
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				Art Unit	2121
				Examiner Name	Not Yet Assigned
Sheet	3	of	4	Attorney Docket Number	30203/38233

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Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)					

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
TP		"GE PREDICTOR™ Services: GE PREDICTOR Services Overview," GE Industrial Systems, available at http://www.geindustrial.com/cwc/services?id=71 on 07/18/2002		
		"Electrical Equipment Coverage," GE Industrial Systems, available at http://www.geindustrial.com/cwc/services?id=72 on 07/18/2002		
		"Customer Impact," GE Industrial Systems, available at http://www.geindustrial.com/cwc/services?id=73 on 07/18/2002		

Examiner Signature	<i>Thyhan</i>	Date Considered	12/11/03
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				Art Unit	2121
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	30203/38233
Sheet	4	of	4		

TP		"Components of GE PREDICTOR™ Services," GE Industrial Systems, available at http://www.geindustrial.com/cwc/services?id=74 on 07/18/2002	
TP		"Customer Benefits," GE Industrial Systems, available at http://www.geindustrial.com/cwc/services?id=75 on 07/18/2002	

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Sheet 1 of 1

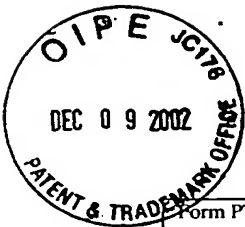
For PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 30203/38233	Serial No. 10/085,445
		Applicant Evren Eryurek et al.	
		Filing Date Feb. 28, 2002	Group 2121
		JUN 18 2002 Technology Center 2100	

U.S. PATENT DOCUMENTS							
*Examiner Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate	
TP	5,680,409	10/21/97	Qin et al.	371	48		
	5,960,214	09/28/99	Sharpe, Jr. et al.	395	835		
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FOREIGN PATENT DOCUMENTS								
*Examiner Initials	Document Number	Publication Date	Country	Class	Subclass	Translation		
						Yes	No	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
TP		Patent Application No. 09/499,445, dated February 7, 2000, "Diagnostics Expert in a Process Control System."
		Patent Application No. 09/593,327, dated June 14, 2000, "Integrated Optimal Model Predictive Control in a Process Control System."
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		Patent Application No. 09/303,869, dated May 3, 1999.
		Patent Application No. 09/257,896, dated February 25, 1999.

Examiner <i>Thyphar</i>	Date Considered 12/11/03
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Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 30203/38233	Serial No. 10/085,445
INFORMATION DISCLOSURE STATEMENT		Applicant Eryurek et al.	
		Filing Date 2/28/02	Group 2121

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U.S. PATENT DOCUMENTS							
*Examiner Initials		Document Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate
TP		5,331,579	07/19/94	Maguire, Jr. et al.	364	578	
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FOREIGN PATENT DOCUMENTS							
*Examiner Initials		Document Number	Publication Date	Country	Class	Subclass	Translation
							Yes No
TP		509 817 A1	10/21/92	EPO			RECEIVED DEC 10 2002 Technology Center 2100
		612 039 A2	08/24/94	EPO			
		965 897 A1	12/22/99	EPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
TP		Search Report issued in PCT/US02/05989 dated November 13, 2002

Examiner <i>J. H. Pham</i>	Date Considered 12/11/03
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